# Lattice Substitution Systems and Model Sets

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Learning is but an adjunct to ourself And where we are our learning likewise is.  $\mbox{- W. Shakespeare}$ 

#### Abstract

The paper studies ways in which the sets of a partition of a lattice in  $\mathbb{R}^n$  become regular model sets. The main theorem gives equivalent conditions which assure that a matrix substitution system on a lattice in  $\mathbb{R}^n$  gives rise to regular model sets (based on p-adic-like internal spaces), and hence to pure point diffractive sets. The methods developed here are used to show that the n-dimensional chair tiling and the sphinx tiling are pure point diffractive.

## 1 Introduction

There have been two very successful approaches to building discrete mathematical structures with long-range aperiodic order. These are the substitution methods, notably symbolic substitutions and tiling substitutions, and the cut and project method. In the first case the structure is typically generated by successive substitution from a finite starting configuration. In the second it typically appears in one shot as the (partial) projection of a periodic structure in some "higher" dimensional embedding space.

The principal focus in this paper is the relationship between matrix substitution systems on a lattice and a naturally related cut and project formalism. We start with a partition of a lattice L in  $\mathbb{R}^n$  into a finite number of point sets  $\tilde{U}=(U_1,\ldots,U_m)$  and a finite set of substitution rules  $\Phi$  which are affine inflations and under which  $\tilde{U}$  is invariant. The main theorem (Theorem 3) provides conditions on  $\Phi$  which are equivalent to  $U_1,\ldots,U_m$  being regular model sets (i.e. cut and project sets). One of the characterizations (modular coincidence) affords a simple computational approach to testing for model sets. In a later section we go beyond the context of substitution systems and provide an alternative characterization (Theorem 4) of model sets. We use both types of characterization in showing that the sphinx and n-dimensional chair tilings are based on model sets.

The connection between substitution systems and cut and project sets is nothing new, e.g. the Fibonacci chain is often described in terms of a cut by a strip through  $\mathbb{Z}^2$ , and the klotz construction of Kramer et al. [2] is a sophisticated elaboration of the same idea. Nonetheless, substitution systems and cut and project sets are not different formulations of the same thing, and the relationship between them remains inadequately understood.

In the early study of aperiodic order, the cut and project formalism was always based on projection into  $\mathbb{R}^n$  from a lattice in some higher space  $\mathbb{R}^n \times \mathbb{R}^p$ , the projection being controlled by a compact set  $W \subset \mathbb{R}^p$ . However, it was already implicit in the much earlier work of Y. Meyer [12] that  $\mathbb{R}^p$  can be replaced by any locally compact abelian group H and  $W \subset H$  by any compact set with non-empty interior, and the projection method still produces discrete aperiodic sets with diffractive properties (hence long-range order). Meyer's terminology for such sets was "model sets" and we use it here in deference to its priority and to emphasize the greater generality of the internal space H. Model sets have been studied in detail in [10, 13, 14, 15, 16, 17]. The relevance of more general internal spaces to tiling theory and symbolic substitutions was made explicit in [4] where p-adic and mixed p-adic and real spaces naturally appear.

One of the important features of making the connection to model sets is that once it is established, pure point diffractivity is assured (see Theorem 2 for a precise statement of this). This type of information is generally quite difficult to obtain. For example, our results shown here prove that the n-dimensional chair tiling and the 2-dimensional sphinx tiling are pure point diffractive. The former has been established for n = 2 previously [4, 18]. The latter is claimed in [18] as being provable by a geometric form of "coincidence" established there (see below for more on the concept of coincidence).

The p-adic type internal spaces occur when the aperiodic set in question is based on the points of a lattice and its sublattices in  $\mathbb{R}^n$ . An important class of examples of this type arises from the equal length symbolic substitution systems. Suppose that  $A = \{a_1, \ldots, a_m\}$  is a finite alphabet with associated monoid of words  $A^*$ , and we are given a primitive substitution  $\sigma: A \longrightarrow A^*$  for which the length l of each of the words  $\sigma(a_i)$  is the same. This substitution leads to a tiling of  $\mathbb{R}$  of tiles of equal length, say equal to 1. Matching the coordinate of the left end of each tile with its tile type  $a_i$ , we obtain a partition  $U_1 \cup \ldots \cup U_m$  of  $\mathbb{Z}$ , and  $\sigma$  may be viewed as comprised of a set of affine mappings  $x \mapsto lx + v$  where  $v \in \mathbb{Z}$ .

A lot more is known about equal length substitutions than the arbitrary ones, a particularly important example of this being Dekking's criterion for diffraction [6]. An equal length aperiodic tiling is pure point diffractive if and only if it admits a coincidence ( $\sigma$  is said to admit a coincidence if there is a k,  $1 \le k \le l^n$ , for which the kth letter of each word  $\sigma^n(a_i)$  for some n is the same).

In this paper we prove a related result, but this time the dimension is not restricted. Namely, there is a notion of coincidence (in fact there are two such notions) and either of these is equivalent to the sets  $U_1, \ldots, U_m$  being regular model sets. One of the criteria for coincidence that we give is a straightforward algorithm and thus in principle is computable.

As we have already pointed out, a consequence of our result is that coincidence implies the pure point diffractivity of  $U_1, \ldots, U_m$ . We do not know yet to what extent the condition is equivalent to pure point diffractivity.

The setting of the paper is entirely at the level of point sets, so necessarily the strong conditions

implicit in the tiling situation are replaced here by a corresponding algebraic condition on the matrix substitution system: the Perron-Frobenius eigenvalue of the substitution system should equal its inflation constant. This is in fact a compatibility condition which is necessary for the model set connection to exist. This condition, not surprisingly, has occurred elsewhere in the literature (see for instance, [11, 18]). The important result that gets the process off the ground is Theorem 1, which is largely due to Martin Schlottmann.

Matrix substitution systems, treated at the level of point sets, have recently appeared in Lagarias and Wang [11] under the name of self-replicating Delone sets. In that paper, point sets X are not restricted to lattices and the principal question revolves around the interesting question of existence of tilings of  $\mathbb{R}^n$  by translations of certain prototiles for which the points of X are the appropriate translational vectors. Also related to our paper is the study of sets of affine mappings in the context of lattice tilings (see [19] for a nice recent survey on this). In relation to our paper, the situation there corresponds to the  $1 \times 1$  matrix substitution systems and the problems become entirely different. Since the tilings there are lattice tilings, the whole issue of model sets and diffracion is trivial, and the issues lie more around the complex nature of the tiles themselves.

### 2 Definitions and Notation

Let X be a nonempty set. For  $m \in \mathbb{Z}_+$ , an  $m \times m$  matrix function system (MFS) on X is an  $m \times m$  matrix  $\Phi = (\Phi_{ij})$ , where each  $\Phi_{ij}$  is a set (possibly empty) of mappings X to X.

The corresponding matrix  $S(\Phi) := (\operatorname{card}(\Phi_{ij}))_{ij}$  is called the *substitution matrix* of  $\Phi$ . The MFS is *primitive* if  $S(\Phi)$  is primitive, i.e. there is an l > 0 for which  $S(\Phi)^l$  has no zero entries.

In this paper we deal only with MFSs which are *finite* in the sense that  $\operatorname{card}(\Phi_{ij}) < \infty$  for all i, j. Of particular importance are the Perron-Frobenius (PF) eigenvalue and the corresponding PF eigenvector (unique up to a scalar factor) of  $S(\Phi)$ . We will also have use for the *incidence matrix*  $I(\Phi)$  of  $\Phi$ , which is defined by

$$(I(\Phi))_{ij} = \begin{cases} 1 & \text{if } \operatorname{card}(\Phi_{ij}) \neq 0, \\ 0 & \text{else.} \end{cases}$$

Let P(X) be the set of subsets of X. Any MFS induces a mapping on  $P(X)^m$  by

$$\Phi \begin{bmatrix} U_1 \\ \vdots \\ U_m \end{bmatrix} = \begin{bmatrix} \bigcup_j \bigcup_{f \in \Phi_{1j}} f(U_j) \\ \vdots \\ \bigcup_j \bigcup_{f \in \Phi_{mj}} f(U_j) \end{bmatrix}$$
(1)

which we call the substitution determined by  $\Phi$ . We sometimes write  $\Phi_{ij}(U_j)$  to mean  $\bigcup_{f \in \Phi_{ij}} f(U_j)$ . In the sequel, X will be a lattice L in  $\mathbb{R}^n$  and the mappings of  $\Phi$  will always be affine linear mappings of the form  $x \mapsto Qx + a$ , where  $Q \in \operatorname{End}_{\mathbb{Z}}(L)$  is the same for all the maps. Such maps extend to  $\mathbb{R}^n$ . For any affine mapping  $f: x \mapsto Qx + b$  on L we denote the translational part, b, of f by t(f). We say that  $f, g \in \Phi$  are congruent mod QL if  $t(f) \equiv t(g)$  mod QL. This equivalence relation partitions  $\Phi$  into congruence classes. For  $a \in L$ ,  $\Phi[a] := \{ f \in \bigcup_{i,j} \Phi_{ij} \mid t(f) \equiv a \mod QL \}$ .

We say that  $\Phi$  admits a coincidence if there is an i,  $1 \leq i \leq m$ , for which  $\bigcap_{j=1}^m \Phi_{ij} \neq \emptyset$ , i.e. the same map appears in every set of the i-th row for some i. Furthermore, if  $\Phi^M[a]$  is contained entirely in one row of the MFS  $(\Phi^M)$  for some M > 0,  $a \in L$ , then we say that  $(\tilde{U}, \Phi)$  admits a modular coincidence.

Let  $\Phi, \Psi$  be  $m \times m$  MFSs on X. Then we can compose them:

$$\Psi \circ \Phi = ((\Psi \circ \Phi)_{ij}), \qquad (2)$$

where  $(\Psi \circ \Phi)_{ij} = \bigcup_{k=1}^{m} \Psi_{ik} \circ \Phi_{kj}$  and  $\Psi_{ik} \circ \Phi_{kj} := \begin{cases} \{g \circ f \mid g \in \Psi_{ik}, f \in \Phi_{kj}\} \\ \emptyset & \text{if } \Psi_{ik} = \emptyset \text{ or } \Phi_{kj} = \emptyset \end{cases}$ . Evidently,  $S(\Psi \circ \Phi) \leq S(\Psi) S(\Phi)$  (see (10) for the definition of the partial order).

For an  $m \times m$  MFS  $\Phi$ , we say that  $\tilde{U} := [U_1, \dots, U_m]^T \in P(X)^m$  is a fixed point of  $\Phi$  if  $\Phi \tilde{U} = \tilde{U}$ .

# 3 Substitution Systems on Lattices

Let L be a lattice in  $\mathbb{R}^n$ . A mapping  $Q \in \operatorname{End}_{\mathbb{Z}}(L)$  is an inflation for L if det  $Q \neq 0$  and

$$\bigcap_{k=0}^{\infty} Q^k L = \{0\}. \tag{3}$$

Let Q be an inflation. Then  $q := |\det Q| = [L : QL] > 1$ . We define the Q-adic completion

$$\overline{L} = \overline{L_Q} = \lim_{\leftarrow k} L/Q^k L \tag{4}$$

of L.  $\overline{L}$  will be supplied with the usual topology of a profinite group. In particular, the cosets  $a+Q^k\overline{L}$ ,  $a\in L$ ,  $k=0,1,2,\cdots$ , form a basis of open sets of  $\overline{L}$  and each of these cosets is both open and closed. When we use the word coset in this paper, we mean either a coset of the form  $a+Q^k\overline{L}$  in  $\overline{L}$  or  $a+Q^kL$  in L, according to the context. An important observation is that any two cosets in  $\overline{L}$  are either disjoint or one is contained in the other. The same applies to cosets of L.

We let  $\mu$  denote Haar measure on  $\overline{L}$ , normalized so that  $\mu(\overline{L}) = 1$ . Thus for cosets,

$$\mu(a + Q^k \overline{L}) = \frac{1}{|\det Q|^k} = \frac{1}{q^k}.$$
 (5)

We also have need of the metric d on  $\overline{L}$  defined via the standard norm:

$$||x|| := \frac{1}{q^k} \quad \text{if} \quad x \in Q^k \overline{L} \setminus Q^{k+1} \overline{L}, \quad ||0|| = 0.$$
 (6)

From  $\bigcap_{k=0}^{\infty} Q^k L = \{0\}$ , we conclude that the mapping  $x \mapsto \{x \mod Q^k L\}_k$  embeds L in  $\overline{L}$ . We identify L with its image in  $\overline{L}$ . Note that  $\overline{L}$  is the closure of L, whence the notation.

An affine lattice substitution system on L with inflation Q is a pair  $(\tilde{U}, \Phi)$  consisting of disjoint subsets  $\{U_i\}_{i=1}^m$  of L and an  $m \times m$  MFS  $\Phi$  on L for which  $\tilde{U} = [U_1, \dots, U_m]^T$  is a fixed point of  $\Phi$ , i.e.

$$U_i = \bigcup_{j=1}^m \bigcup_{f \in \Phi_{ij}} f(U_j), \quad i = 1, \dots, m,$$

$$(7)$$

where the maps of  $\Phi$  are affine mappings of the form  $x \mapsto Qx + a$ ,  $a \in L$ , and in which the unions in (7) are disjoint.<sup>1</sup> In this paper all our matrix substitution systems are composed of affine mappings on a lattice and we often drop the words 'affine lattice', speaking simply of substitution systems.

We say that the substitution system  $(\tilde{U}, \Phi)$  is *primitive* if  $\Phi$  is primitive. A second substitution system  $(\tilde{U}', \Psi)$  is called *equivalent* to  $(\tilde{U}, \Phi)$  if  $\tilde{U}' = \tilde{U}$ ,  $\Psi$  and  $\Phi$  have the same inflation, and  $S(\Psi), S(\Phi)$  have the same PF-eigenvalue and right PF-eigenvector (up to scalar factor).

Let  $(\overline{U}, \Phi)$  be a substitution system on L. Identifying L as a dense subgroup of  $\overline{L}$ , we have a unique extension of  $\Phi$  to a MFS on  $\overline{L}$  in the obvious way. Thus if  $f \in \Phi_{ij}$  and  $f: x \mapsto Qx + a$ , then this formula defines a mapping on  $\overline{L}$ , to which we give the same name. Note that f is a contraction on  $\overline{L}$ , since  $||Qx|| = \frac{1}{q}||x||$  for all  $x \in \overline{L}$ . Thus  $\Phi$  determines a multi-component iterated function system on  $\overline{L}$ . Furthermore defining the compact subsets

$$W_i := \overline{U_i}, \quad i = 1, \cdots, m, \tag{8}$$

and using (7) and the continuity of the mapping, we have

$$W_i = \bigcup_{j=1}^m \bigcup_{f \in \Phi_{ij}} f(W_j), \quad i = 1, \dots, m,$$

$$(9)$$

which shows that  $\tilde{W} = [W_1, \dots, W_m]^T$  is the unique attractor of  $\Phi$  (see [3, 8]).

We call  $(\tilde{W}, \Phi)$  the associated Q-adic system. We cannot expect in general that the decomposition in (9) will be disjoint, so we do not call  $(\tilde{W}, \Phi)$  a substitution system.

For  $X, Y \in \mathbb{R}^n$ , we write

$$X \leq Y$$
 if  $X_i \leq Y_i$  for all  $1 \leq i \leq n$   
 $X < Y$  if  $X_i < Y_i$  for all  $1 \leq i \leq n$ .

Similarly, for  $A, B \in M_n(\mathbb{R})$ 

$$A \leq B$$
 if  $A_{ij} \leq B_{ij}$  for all  $1 \leq i, j \leq n$   
 $A < B$  if  $A_{ij} < B_{ij}$  for all  $1 \leq i, j \leq n$ . (10)

We begin by recalling a couple of results from the Perron-Frobenius theory.

**Lemma 1** Let A be a non-negative primitive matrix with PF-eigenvalue  $\lambda$ . If  $0 \le \lambda X \le AX$ , then  $AX = \lambda X$ .

PROOF: We can assume  $X \neq 0$ . Since  $0 \leq \lambda X$  and  $\lambda > 0$ ,  $X \geq 0$ . Let X' > 0 be a PF right-eigenvector of A. Let  $\alpha = \max\{\frac{X_i}{X_i'} \mid 1 \leq i \leq m\}$ . Then  $X \leq \alpha X'$  and X is not strictly less than  $\alpha X'$ . Claim  $X = \alpha X'$ . If  $X \neq \alpha X'$ , then  $0 < A^N(\alpha X' - X) = \alpha \lambda^N X' - A^N X$  for some N, since A is primitive. So  $\lambda^N X \leq A^N X < \alpha \lambda^N X'$ , i.e.  $X < \alpha X'$ . This is a contradiction. Therefore  $AX = \lambda X$ .

<sup>&</sup>lt;sup>1</sup> In the case that one has unions (7) which are not disjoint there arises the natural question of the mulitplicities of points, or more generally densities of points. For more on this see [3, 11].

**Lemma 2** Let  $\lambda$  be the PF-eigenvalue of the non-negative primitive matrix A and  $\mu$  be an eigenvalue of a matrix B where  $0 \le B \le A$ . If  $A \ne B$ , then  $|\mu| < \lambda$ .

PROOF: Let Y be a right eigenvector for eigenvalue  $\mu$  of B, with  $Y = [Y_1, \cdots, Y_m]^T$ . Let  $\overline{Y} = [|Y_1|, \cdots, |Y_m|]^T \neq 0$ . Then  $|\mu|\overline{Y} \leq B\overline{Y} \leq A\overline{Y}$ . Let  $\overline{X}^T$  be a positive left eigenvector for A with PF-eigenvalue  $\lambda$ . So  $|\mu|\overline{X}^T\overline{Y} \leq \overline{X}^TB\overline{Y} \leq \overline{X}^TA\overline{Y} = \lambda \overline{X}^T\overline{Y}$ . This shows that  $|\mu| \leq \lambda$ . If  $|\mu| = \lambda$ , then  $\lambda \overline{Y} \leq A\overline{Y}$ . By Lemma 1,  $\lambda \overline{Y} = A\overline{Y}$ . Since A is a primitive matrix,  $\lambda^m \overline{Y} = A^m \overline{Y} > 0$  for some m. So  $\overline{Y} > 0$ . From  $\lambda \overline{Y} \leq B\overline{Y} \leq A\overline{Y} = \lambda \overline{Y}$ , we have  $A\overline{Y} = B\overline{Y}$ . Therefore A = B.

**Lemma 3** Let  $(\tilde{U}, \Phi)$  be a primitive substitution system. Then for all  $l = 1, 2, \dots, (\tilde{U}, \Phi^l)$  is a primitive substitution system.

PROOF: Let  $i, j, k \in \{1, 2, \dots, m\}$ . All the maps  $g \in \Phi_{ik}$  have domain  $U_k$  and disjoint images in  $U_i$ . Moreover all the mappings g are injective. Likewise all the maps f of  $\Phi_{kj}$  have domain  $U_j$  and disjoint images in  $U_k$ . Thus all the maps  $g \circ f \in \Phi_{ik} \circ \Phi_{kj}$  have domain  $U_j$  and disjoint images in  $U_i$ . Furthermore  $\Phi^2 \tilde{U} = \Phi(\Phi \tilde{U}) = \Phi(\tilde{U}) = \tilde{U}$ . So  $(\tilde{U}, \Phi^2)$  is a substitution system. The argument extends in the same way to  $(\tilde{U}, \Phi^l)$ . The statement on primitivity is clear.

**Theorem 1** Let  $(\tilde{U}, \Phi)$  be a primitive substitution system with inflation Q on L. Let  $(\tilde{W}, \Phi)$  be the corresponding associated Q-adic system. Suppose that the PF-eigenvalue of  $S(\Phi)$  is  $|\det Q|$  and  $\overline{L} = \bigcup_{i=1}^m W_i$ . Then

(i) 
$$S(\Phi^r) = (S(\Phi))^r, r \ge 1;$$
  
(ii)  $\mu(W_i) = \frac{1}{q^r} \sum_{j=1}^m (S(\Phi^r))_{ij} \mu(W_j), \text{ for all } i = 1, \dots, m, r \ge 1;$   
(iii) For all  $i = 1, \dots, m, \ W_i \ne \emptyset \text{ and } \mu(\partial W_i) = 0.$ 

PROOF: For every measurable set  $E \subset L$  and all  $f \in \Phi_{ij}$ ,  $\mu(f(E)) = \mu(Q(E) + a) = \frac{1}{|\det Q|}\mu(E)$ , where  $f: x \mapsto Qx + a$ . In particular,  $\mu(f(W_j)) = \frac{1}{q} w_j$ , where  $w_j := \mu(W_j)$  and  $q = |\det Q|$ . We obtain

$$w_i \le \sum_{i=1}^m \frac{1}{q^r} \operatorname{card}((\Phi^r)_{ij}) w_j$$

from (9).

Let  $w = [w_1, \dots, w_m]^T$ . Since  $\bigcup_{i=1}^m W_i = \overline{L}$ , the Baire category theorem assures us that for at least one i,

$$\overset{\circ}{W_i} \neq \emptyset \tag{11}$$

and then the primitivity gives this for all i. So w > 0 and

$$w \le \frac{1}{q^r} S(\Phi^r) w \le \frac{1}{q^r} S(\Phi)^r w, \text{ for any } r \ge 1.$$
 (12)

Since the PF-eigenvalue of  $S(\Phi)^r$  is  $q^r = |\det Q|^r$  and  $S(\Phi)^r$  is primitive, we have from Lemma 1 that

$$w = \frac{1}{q^r} S(\Phi^r) w = \frac{1}{q^r} S(\Phi)^r w, \text{ for any } r \ge 1.$$
 (13)

The positivity of w together with  $S(\Phi^r) \leq S(\Phi)^r$  shows that  $S(\Phi^r) = S(\Phi)^r$ . This proves (i) and (ii).

Fix any  $i \in \{1, \dots, m\}$ , let  $W_i$  contain a basis open set  $a + Q^r \overline{L}$  with some  $r \in \mathbb{Z}_{\geq 0}$  by (11). Since  $(\overline{U}, \Phi^r)$  is a substitution system,  $a + Q^r \overline{L} \subset \mathring{W}_i \subset W_i = \bigcup_{j=1}^m (\Phi^r)_{ij} W_j$ . In particular,  $(a + Q^r \overline{L}) \cap g(W_k) \neq \emptyset$  for some  $k \in \{1, \dots, m\}$  and some  $g \in (\Phi^r)_{ik}$ . However  $g(\overline{L}) = b + Q^r \overline{L}$  for some  $b \in L$ , so  $(a + Q^r \overline{L}) \cap (b + Q^r \overline{L}) \neq \emptyset$ . This means  $a + Q^r \overline{L} = b + Q^r \overline{L}$ . Thus

$$g(W_k) \subset g(\overline{L}) = a + Q^r \overline{L} \subset \overset{\circ}{W_i} .$$
 (14)

For all  $f \in (\Phi^r)_{ij}, \ j \in \{1, 2, \cdots, m\}, \ f$  is clearly an open map, so  $\bigcup_{j=1}^m (\Phi^r)_{ij}(\mathring{W_j}) \subset \mathring{W_i}$ . Thus

$$\partial W_{i} = W_{i} \setminus \overset{\circ}{W}_{i} = \left( \bigcup_{j=1}^{m} (\Phi^{r})_{ij}(W_{j}) \right) \setminus \overset{\circ}{W}_{i}$$

$$\subset \bigcup_{j=1}^{m} \left( (\Phi^{r})_{ij}(W_{j}) \setminus (\Phi^{r})_{ij}(\overset{\circ}{W}_{j}) \right)$$

$$\subset \bigcup_{i=1}^{m} (\Phi^{r})_{ij}(\partial W_{j}). \tag{15}$$

Note that due to (14) at least one g in  $(\Phi^r)_{ij}$  does not contribute to the relation (15).

Let  $v_i := \mu(\partial W_i)$ ,  $i = 1, \dots, m$  and  $v := [v_1, \dots, v_m]^T$ . So  $v \leq \frac{1}{q^r} S(\Phi^r) v$ . Actually, by what we just said,

$$0 \le v \le \frac{1}{q^r} S'v \le \frac{1}{q^r} S(\Phi^r)v = \frac{1}{q^r} S(\Phi)^r v, \tag{16}$$

where  $S' \leq S(\Phi)^r$ ,  $S' \neq S(\Phi)^r$ . Now applying the Lemma 1 again we obtain equality throughout (16). But by Lemma 2 the eigenvalues of  $\frac{1}{q^r}S'$  are strictly less in absolute value than the PF-eigenvalue of  $\frac{1}{q^r}S(\Phi)^r$ , which is 1. This forces v = 0, and hence  $\mu(\partial W_i) = 0, i = 1, \dots, m$ .

In the sequel, the central concern is to relate the sets  $U_i$  and the sets  $\Lambda_i := W_i \cap L$ . Clearly  $\Lambda_i \supset U_i$ . The next lemma groups a circle of ideas that relate this question to the boundaries and interiors of the  $W_i$ .

**Lemma 4** Let  $U_i, i = 1, \dots, m$ , be point sets of the lattice L in  $\mathbb{R}^n$ . Let Q be an inflation of L and identify L with its image in its Q-adic completion  $\overline{L}$ . Define  $W_i := \overline{U_i}$  in  $\overline{L}$  and  $\Lambda_i := W_i \cap L$ .

- (i) If  $U_1, \ldots, U_m$  are disjoint and  $\mu(\overline{\Lambda_i \setminus U_i}) = 0$  for all  $i = 1, \cdots, m$ , then  $\overset{\circ}{W_i} \cap \overset{\circ}{W_j} = \emptyset$  for all  $i \neq j$ .
- (ii) If  $L = \bigcup_{i=1}^m U_i$  and  $\mathring{W_i} \cap \mathring{W_j} = \emptyset$  for all  $i \neq j$ , where  $i, j \in \{1, \dots, m\}$ , then  $\Lambda_i \setminus U_i \subset \bigcup_{j=1}^m \partial W_j$  for all  $i = 1, \dots, m$ .
- (iii) If  $\mu(\partial W_j) = 0$  for all  $j = 1, \dots, m$  and  $\Lambda_i \setminus U_i \subset \bigcup_{j=1}^m \partial W_j$ , then  $\mu(\overline{\Lambda_i \setminus U_i}) = 0$ .

PROOF: (i) Suppose there are  $i, j \in \{1, \dots, m\}$  with  $\mathring{W_i} \cap \mathring{W_j} \neq \emptyset$ . We can choose  $a \in (\mathring{W_i} \cap \mathring{W_j}) \cap L$ , since L is dense in  $\overline{L}$  and  $\mathring{W_i} \cap \mathring{W_j}$  is open. Choose  $k \in \mathbb{Z}_+$  so that  $a + q^k \overline{L} \subset \mathring{W_i} \cap \mathring{W_j}$ . Note that  $a + q^k L \subset \Lambda_i \cap \Lambda_j$ . Then

$$\bigcup_{i=1}^{m} (\Lambda_i \backslash U_i) \supseteq ((a+q^k L) \backslash U_i) \cup ((a+q^k L) \backslash U_j)$$

$$= (a+q^k L) \backslash (U_i \cap U_j)$$

$$= a+q^k L, \text{ since the } U_i, i=1,\dots,m, \text{ are disjoint.}$$

So

$$\sum_{i=1}^{m} \mu(\overline{\Lambda_i \setminus U_i}) \geq \mu(\bigcup_{i=1}^{m} (\overline{\Lambda_i \setminus U_i}))$$

$$\geq \mu(a + q^k \overline{L})$$

$$> 0.$$

contrary to assumption.

(ii) Assume  $\overset{\circ}{W_i} \cap \overset{\circ}{W_j} = \emptyset$  for all  $i \neq j$ . For any  $i \in \{1, \dots, m\}$ ,

$$(\Lambda_i \backslash U_i) \subset (\bigcup_{j \neq i} U_j) \cap W_i, \quad \text{since } L = \bigcup_{i=1}^m U_i$$

$$\subset \bigcup_{j \neq i} (W_j \cap W_i) \subset \bigcup_{j=1}^m \partial W_j, \quad \text{since } \mathring{W_i} \cap \mathring{W_j} = \emptyset \text{ for all } i \neq j.$$

(iii) Obvious. 
$$\Box$$

### 4 Model Sets

Let us recall the notion of a model set (or cut and project set). A cut and project scheme (CPS) consists of a collection of spaces and mappings as follows;

$$\mathbb{R}^n \quad \stackrel{\pi_1}{\longleftarrow} \quad \mathbb{R}^n \times G \quad \stackrel{\pi_2}{\longrightarrow} \quad G$$

$$\bigcup_{\tilde{I}_-} \tag{17}$$

where  $\mathbb{R}^n$  is a real Euclidean space, G is some locally compact Abelian group, and  $\tilde{L} \subset \mathbb{R}^n \times G$  is a lattice, i.e. a discrete subgroup for which the quotient group  $(\mathbb{R}^n \times G)/\tilde{L}$  is compact. Furthermore, we assume that  $\pi_1|_{\tilde{L}}$  is injective and  $\pi_2(\tilde{L})$  is dense in G.

A model set in  $\mathbb{R}^n$  is a subset of  $\mathbb{R}^n$  which, up to translation, is of the form  $\Lambda(V) = \{ \pi_1(x) \mid x \in \tilde{L}, \pi_2(x) \in V \}$  for some cut and project scheme as above, where  $V \subset G$  has non-empty interior and compact closure (relatively compact). When we need to be more precise we explicitly mention

the cut and project scheme from which a model set arises. This is quite important in some of the theorems below. Model sets are always Delone subsets of  $\mathbb{R}^n$ , that is to say, they are relatively dense and uniformly discrete.

We call the model set  $\Lambda(V)$  regular if the boundary  $\partial V = \overline{V} \setminus \overset{\circ}{V}$  of V is of (Haar) measure 0. We will also find it convenient to consider certain degenerate types of model sets. A weak model set is a set in  $\mathbb{R}^n$  of the form  $\Lambda(V)$  where we assume only that V is relatively compact, but not that it has a non-empty interior. When V has no interior,  $\Lambda(V)$  is not necessarily relatively dense in  $\mathbb{R}^n$  but regularity still means that the boundary of V is of measure 0.

**Theorem 2** (Schlottmann [17]) If  $\Lambda = \Lambda(V)$  is a regular model set, then  $\Lambda$  is a pure point diffractive set, i.e. the Fourier transform of its volume averaged autocorrelation measure is a pure point measure.

It is this theorem that is a prime motivation for finding criteria for sets to be model sets.

Now let  $(\tilde{U}, \Phi)$  be a substitution system with inflation Q on a lattice L of  $\mathbb{R}^n$  and let  $\overline{L}$  be the Q-adic completion of L. This gives rise to the cut and project scheme.

$$\mathbb{R}^{n} \stackrel{\pi_{1}}{\longleftarrow} \mathbb{R}^{n} \times \overline{L} \stackrel{\pi_{2}}{\longrightarrow} \overline{L} \\
\downarrow \qquad \qquad \qquad \downarrow \qquad \qquad$$

where  $\tilde{L} := \{ (t,t) \mid t \in L \} \subset \mathbb{R}^n \times \overline{L}$ .

We claim that  $(\mathbb{R}^n \times \overline{L})/\tilde{L}$  is compact.  $\tilde{L}$  is clearly discrete and closed in  $\mathbb{R}^n \times \overline{L}$ . Since  $(\mathbb{R}^n \times \overline{L})/\tilde{L}$  is Hausdorff and satisfies the first axiom of countability, it is enough to show that it is sequentially compact [9]. If  $\{(x_i, z_i) + \tilde{L}\}$  is a countable sequence in  $(\mathbb{R}^n \times \overline{L})/\tilde{L}$ , then there is a subsequence  $\{(x_i, z_i) + \tilde{L}\}_S$  with  $\{x_i + L\}_S$  convergent sequence, since  $\mathbb{R}^n/L$  is compact. We can rewrite  $\{(x_i, z_i) + \tilde{L}\}_S$  as  $\{(x_i', z_i') + \tilde{L}\}_S$ , where  $\{x_i'\}_{i \in S}$  converges to x in  $\mathbb{R}^n$ . Since  $\overline{L}$  is compact, there is a convergent subsequence  $\{z_i'\}_{S'}$  to some z in  $\overline{L}$ . Thus  $\{(x_i', z_i')\}_{S'}$  converges to (x, z) in  $\mathbb{R}^n \times \overline{L}$ . Therefore  $(\mathbb{R}^n \times \overline{L})/\tilde{L}$  is sequentially compact.

Note also that  $\pi_1|_{\tilde{L}}$  is injective and  $\pi_2(\tilde{L})$  is dense in  $\overline{L}$ .

**Lemma 5** Let  $U_i$ ,  $i=1,\dots,m$ , be disjoint point sets of the lattice L in  $\mathbb{R}^n$ . Identify L and its image in  $\overline{L}$ . Let  $W_i := \overline{U_i}$  in  $\overline{L}$  and  $\Lambda_i := W_i \cap L$ . Suppose that  $\mu(\partial W_i) = 0$  for all  $i=1,\dots,m$ .

- (i) If  $\Lambda_i \backslash U_i \subset \bigcup_{j=1}^m \partial W_j$  then, relative to the CPS(18),  $U_i$  is a regular weak model set when  $\overset{\circ}{W_i}$  is empty, and  $U_i$  is a regular model set when  $\overset{\circ}{W_i}$  is non-empty.
- (ii) If  $L = \bigcup_{j=1}^m U_j$  and each  $U_i$  is a regular model set, then  $\Lambda_i \setminus U_i \subset \bigcup_{j=1}^m \partial W_j$  for all  $i = 1, \ldots, m$ .

PROOF: (i) Assume that  $\Lambda_i \setminus U_i \subset \bigcup_{j=1}^m \partial W_j$  for all  $i=1,\cdots,m$ . Since  $\mu(\partial W_i)=0$  for all  $i=1,\cdots,m$ ,

$$\mu(W_i) = \mu(\mathring{W_i}) = \mu(\mathring{W_i} \setminus \bigcup_{j=1}^m \partial W_j)$$
(19)

Since  $\Lambda_i = W_i \cap L$ ,  $U_i = V_i \cap L$  where  $V_i := W_i \setminus (\Lambda_i \setminus U_i)$ . Now  $V_i \supset \overset{\circ}{W_i} \setminus \bigcup_{j=1}^m \partial W_j$ . From  $\overset{\circ}{W_i} \setminus \bigcup_{j=1}^m \partial W_j \subset \overset{\circ}{V_i} \subset V_i \subset \overline{V_i} = W_i$  and (19),  $\mu(\overline{V_i} \setminus \overset{\circ}{V_i}) = 0$ . So  $U_i$  is regular. If  $\overset{\circ}{W_i} = \emptyset$ , then  $\overset{\circ}{V_i} = \emptyset$  also. Thus  $U_i$  is a regular weak model set. On the other hand, for any i with  $\overset{\circ}{W_i} \neq \emptyset$ ,  $\overset{\circ}{V_i} \neq \emptyset$  and  $\overline{V_i}$  is compact. It follows that  $U_i = \Lambda(V_i)$  is a regular model set for the CPS (18).

(ii) Suppose that  $\mathring{V}_i \neq \emptyset$ ,  $\mu(\overline{V_i} \setminus \mathring{V}_i) = 0$ , where  $U_i = V_i \cap L$ , and  $L = \bigcup_{j=1}^m U_j$ . Then from  $\overline{\Lambda_i \setminus U_i} = \overline{\Lambda(W_i) \setminus \Lambda(V_i)} \subset \overline{W_i \setminus V_i} \subset W_i \setminus \mathring{V}_i = \overline{V_i} \setminus \mathring{V}_i$ , we have  $\mu(\overline{\Lambda_i \setminus U_i}) = 0$  for all  $i = 1, \dots, m$ . By Lemma 4 (i) and (ii),  $\mathring{W}_i \cap \mathring{W}_j = 0$  for all  $i \neq j$  and  $\Lambda_i \setminus U_i \subset \bigcup_{j=1}^m \partial W_j$ .

**Theorem 3** Let  $(\tilde{U}, \Phi)$  be a primitive substitution system with inflation Q on the lattice L in  $\mathbb{R}^n$ . Suppose that PF-eigenvalue of the substitution matrix  $S(\Phi)$  is equal to  $|\det Q|$  and  $L = \bigcup_{i=1}^m U_i$ . Then the following are equivalent.

- (i) There is a primitive substitution matrix  $\Psi$  admitting a coincidence, where  $(\tilde{U}, \Psi)$  is equivalent to  $(\tilde{U}, \Phi^M)$  for some  $M \geq 1$ .
  - (ii) The sets  $U_i$ ,  $i = 1, \dots, m$ , of  $\tilde{U}$  are model sets for the CPS (18).
  - (iii) For at least one i,  $U_i$  contains a coset  $a + Q^M L$ .
  - (iv)  $(\tilde{U}, \Phi)$  admits a modular coincidence.

#### PROOF:

(i)  $\Rightarrow$  (ii): Suppose that  $(\tilde{U}, \Psi)$  admits a coincidence and is equivalent to  $(\tilde{U}, \Phi^M)$ . Fix  $i \in \{1, \dots, m\}$  with  $\bigcap_{j=1}^m \Psi_{ij} \neq \emptyset$  and let g be in this intersection. Recalling equation (9), and in view of the choice of g, we have

$$\mu(W_i) \le \left(\sum_{j=1}^m \sum_{f \in \Psi_{ij}} \mu(f(W_j))\right) - \mu(g(W_k) \cap g(W_l)),$$

for any  $k, l \in \{1, \dots, m\}$  with  $k \neq l$ . On the other hand, from Theorem 1 (ii)

$$\mu(W_i) = \frac{1}{q^M} \sum_{j=1}^m (S(\Psi))_{ij} \mu(W_j) = \sum_{j=1}^m \sum_{f \in \Psi_{ij}} \mu(f(W_j)).$$
 (20)

Thus, in fact,  $\mu(g(W_k) \cap g(W_l)) = 0$  whenever  $k \neq l$ . It follows at once that  $\mathring{W_k} \cap \mathring{W_l} = \emptyset$  for all  $k \neq l$ , since the measure of any open set is larger than 0.

Recall that  $\overset{\circ}{W_i} \neq \emptyset$  and  $\mu(\partial W_i) = 0$  for all  $i = 1, \dots, m$ . Then by Lemma 4(ii) and Lemma 5,  $U_i, i = 1, \dots, m$ , are model sets in CPS(18).

- (ii)  $\Rightarrow$  (iii): Assume that  $U_i$ ,  $i=1,\dots,m$ , are model sets in CPS(18), i.e.  $U_i=\Lambda(V_i)=V_i\cap L$  for some  $V_i$  with  $V_i\neq\emptyset$ . Thus there is a coset  $a+Q^M\overline{L}\subset V_i$  and, since we can always choose the coset representative from the dense lattice L, we can arrange that  $a+Q^ML\subset U_i$ .
- (iii)  $\Rightarrow$  (iv): Assume that for at least one i,  $U_i$  contains a coset  $a + Q^M L$ . Fix i. Iterate  $\Phi$  M-times. Then each function f in the substitution system  $\Phi^M$  has the form  $f: x \mapsto Q^M x + b$ . For

each j, let  $G_j := \{ f \in (\Phi^M)_{ij} \mid t(f) \equiv a \mod Q^M L \}$ . (Recall that t(f) is the translational part of f). From  $U_i = \bigcup_{j=1}^m \bigcup_{f \in (\Phi^M)_{ij}} f(U_j)$ , we obtain  $a + Q^M L \subset \bigcup_{j=1}^m \bigcup_{f \in G_j} f(U_j)$ . In fact

$$a + Q^M L = \bigcup_{j=1}^m \bigcup_{f \in G_j} f(U_j), \qquad (21)$$

since the right hand side is clearly inside  $a + Q^M L$ . From the fact  $a + Q^M L \subset U_i$ , we get  $\Phi^M[a] = \bigcup_{i=1}^m G_i \subset \bigcup_{i=1}^m (\Phi^M)_{ij}$ . Therefore  $\Phi^M$  has a row containing an entire congruence class  $\Phi^M[a]$ .

(iv)  $\Rightarrow$  (i). Assume  $\Phi^M$  has a row, say *i*-th row, containing an entire congruence class  $\Phi^M[a]$ . Let  $G_j := \Phi^M[a] \cap (\Phi^M)_{ij}$ . Then  $\bigcup_{j=1}^m \bigcup_{f \in G_j} f(U_j) \subset a + Q^M L$ . Recall that  $\bigcup_{j=1}^m U_j = L$  and  $\tilde{U} = \Phi^M(\tilde{U})$ . It follows that the elements of  $a + Q^M L$  can be obtained from the substitution system  $\Phi^M$  only from the mappings of  $\Phi^M[a]$ , and indeed they must all appear as images of the mappings of  $\Phi^M[a]$ . Thus

$$a + Q^M L = \bigcup_{j=1}^m \bigcup_{f \in G_j} f(U_j) \subset U_i.$$
 (22)

On the other hand,

$$a + Q^M L = \bigcup_{j=1}^m Q^M(U_j) + a,$$
 (23)

which is a disjoint union.

We now alter our substitution system  $\Phi^M$  as follows: Define  $g: L \to L$  by  $g(x) = Q^M x + a$ . We may, by restriction of domain, consider g as a function on  $U_i$ ,  $j = 1, \dots, m$ . We define  $\Psi$  by

$$\begin{cases}
\Psi_{ij} = ((\Phi^M)_{ij} \setminus G_j) \bigcup \{g\} \\
\Psi_{kj} = (\Phi^M)_{kj} & \text{if } k \neq i,
\end{cases}$$

for all j. From (22) and (23), the  $\Psi_{ij}, j = 1, \dots, m$ , consist of maps from  $U_j$  to  $U_i$  and have the same total effect on  $U_i$  as the  $(\Phi^M)_{ij}, j = 1, \dots, m$ . Thus  $(\tilde{U}, \Psi)$  is a substitution system admitting a coincidence.

Since  $S(\Phi^M)$  is primitive, the incidence matrix  $I(\Phi^M)$  is primitive. Then  $I(\Psi)$  is also primitive, since  $I(\Phi^M) \leq I(\Psi)$ . So  $\Psi$  is primitive. In addition,  $\Psi$  has the inflation  $Q^M$  for L which is an inflation in  $\Phi^M$ .

We claim that  $S(\Psi)$ ,  $S(\Phi^M)$  have the same PF-eigenvalue and right PF-eigenvector. Then  $(\tilde{U}, \Psi)$  is equivalent to  $(\tilde{U}, \Phi^M)$ .

We verify first that  $W_k \cap W_j = \emptyset$  for all  $k \neq j$ . We can assume that m > 1, since there is nothing to prove when m = 1. Let  $g_1 \in G_l = (\Phi^M)_{il}[a] \neq \emptyset$  for some l. Take any  $k \in \{1, \dots, m\}$ . There is  $M_0 \in \mathbb{Z}_+$  for which  $(\Phi^{M_0})_{lk} \neq \emptyset$ . Choose  $f \in (\Phi^{M_0})_{lk}$ . Let  $g_1 : x \mapsto Q^M x + a_1$ , where  $a_1 \equiv a \mod Q^M L$ , and  $f : x \mapsto Q^{M_0} x + b$  with  $b \in L$ . Then  $g_1 \circ f : x \mapsto Q^{M+M_0} x + Q^M b + a_1$ . So  $g_1 \circ f \in (\Phi^{M+M_0})_{ik}[a_1 + Q^M b]$ . Furthermore  $(a_1 + Q^M b) + Q^{M+M_0}(L) \subset a_1 + Q^M L \subset U_i$ .

Let  $N := M + M_0$ ,  $c := a_1 + Q^M b$ , and  $p := g_1 \circ f$ . Note that

$$c + Q^N L = \bigcup_{j=1}^m \bigcup_{h \in H_j} h(U_j), \qquad (24)$$

where  $H_j = (\Phi^N)_{ij}[c]$ .

There are at least two functions in  $\bigcup_{j=1}^m H_j$ , since for all j  $U_j \neq L$ . We can write  $c + Q^N L$  in the form

$$c + Q^{N}L = \bigcup \{ Q^{N}U_{j} + Q^{N}\alpha_{h} + c \mid j \in \{1, \dots, m\}, h \in H_{j}, \alpha_{h} \in L \},$$
 (25)

where we have used the explicit form of each of the mappings  $h \in H_j$ . This union is disjoint, and as a consequence the elements  $\alpha_h \in L$  for h in any single  $H_j$  are all distinct. In particular we have  $\alpha_p$  coming from  $H_k$ . From (25) we have

$$L = \bigcup_{j=1}^{m} \bigcup_{h \in H_j} (U_j + \alpha_h)$$
 (26)

and separating off  $U_k$ ,

$$L = U_k \cup \bigcup_{j=1}^m \bigcup_{h \in H_j'} (U_j + \alpha_h - \alpha_p), \qquad (27)$$

where  $H'_j := H_j$  if  $j \neq k$  and  $H'_k := H_k \setminus \{p\}$ . Again these decompositions are disjoint. But we also know that  $U_k$  and  $\bigcup_{\substack{j=1\\j\neq k}}^m U_j$  are disjoint, and it follows that

$$\bigcup_{\substack{j=1\\j\neq k}}^m U_j \subset \bigcup_{j=1}^m \bigcup_{h\in H'_j} (U_j + \alpha_h - \alpha_p).$$

Taking closures,

$$\bigcup_{\substack{j=1\\j\neq k}}^{m} W_j \subset \bigcup_{j=1}^{m} \bigcup_{h\in H'_j} (W_j + \alpha_h - \alpha_p). \tag{28}$$

On the other hand, if we apply Theorem 1(ii) to  $\Phi^N$  and look at (24) we see that

$$\mu(c + Q^N \overline{L}) = \sum_{j=1}^m \sum_{h \in H_j} \mu(h(W_j)) = \sum_{j=1}^m \sum_{h \in H_j} \mu(Q^N(W_j + \alpha_h) + c),$$

and hence

$$\mu(\overline{L}) = \sum_{j=1}^{m} \sum_{h \in H_j} \mu(W_j + \alpha_h) = \sum_{j=1}^{m} \sum_{h \in H_j} \mu(W_j + \alpha_h - \alpha_p).$$

Thus

$$\mu(\overline{L}) = \mu(W_k) + \left(\sum_{j=1}^m \sum_{h \in H'_j} \mu(W_j + \alpha_h - \alpha_p)\right)$$

which, after taking closures in (27), gives

$$\mu\left(W_k \cap \left(\bigcup_{j=1}^m \bigcup_{h \in H'_j} (W_j + \alpha_h - \alpha_p)\right)\right) = 0.$$
 (29)

Finally from (28) and (29) we obtain

$$\mu(W_k \cap (\bigcup_{\substack{j=1\\j\neq k}}^m W_j)) = 0,$$

from which  $\overset{\circ}{W_k} \cap \overset{\circ}{W_j} = \emptyset$  for all  $k \neq j$ . This establishes the claim.

$$\mu\left(\bigcup_{j=1}^{m} g(W_{j})\right) = \frac{1}{|\det Q^{M}|} \mu\left(\bigcup_{j=1}^{m} W_{j}\right)$$

$$= \frac{1}{|\det Q^{M}|} \sum_{j=1}^{m} \mu(W_{j}),$$
from  $\mu(\partial W_{j}) = 0$ ,  $\mathring{W}_{i} \cap \mathring{W}_{j} = \emptyset$  for all  $i \neq j$ 

$$= \sum_{j=1}^{m} \mu(g(W_{j})).$$
(30)

Again using Theorem 1 (ii), this time for  $\Phi^M$ , we obtain

$$w = \frac{1}{|\text{det}Q^M|} S(\Phi^M) w \,,$$

where  $w = [\mu(W_1), \dots, \mu(W_m)]^T$ . The part of this relation in  $W_i$  which pertains to the coset  $a + Q^M \overline{L}$  is

$$\mu(a + Q^M \overline{L}) = \sum_{j=1}^m \sum_{f \in G_j} \mu(f(W_j)).$$
(31)

But from (23)

$$\mu(a + Q^M \overline{L}) = \mu \left( \bigcup_{j=1}^m g(W_j) \right). \tag{32}$$

Together, (30), (31), and (32) show

$$w = \frac{1}{|{\rm det}Q^M|} S(\Psi) w \, .$$

Since w>0 and  $S(\Psi)$  is primitive,  $S(\Psi)$  has PF-eigenvalue  $|\det Q^M|$  and PF-eigenvector w as required.

**Remark**: Let  $A = \{a_1, \ldots, a_m\}$  be an alphabet of m symbols and let  $\sigma$  be a primitive equallength alphabetic substitution system on A, that is,

(i)  $\sigma: A \longrightarrow A^q$  for some  $q \in \mathbb{Z}_+$ ;

(ii) the  $m \times m$  matrix  $S = (S_{ij})$ , whose i, j entry is the number of appearances of  $a_i$  in  $\sigma(a_j)$ , is primitive.

According to Gottschalk [7], for some iteration  $\sigma^k$  of  $\sigma$ , there is a word  $w \in A^{\mathbb{Z}}$  which is fixed by  $\sigma$  in the sense that

$$\sigma^{k}(w_{0}w_{1}\dots) = w_{0}w_{1}\dots 
\sigma^{k}(\dots w_{-2}w_{-1}) = \dots w_{-2}w_{-1}.$$
(33)

Replacing  $\sigma^k$  by  $\sigma$  and  $q^k$  by q if necessary we can suppose that k=1, and assume then that  $\sigma(w)=w$ .

We can view w as a tiling of  $\mathbb{R}$  by tiles of types  $a_1, \ldots a_m$ , all of the same length 1. If we coordinatize each tile by its lefthand end point so that  $w_l$  gets coordinate l, then we obtain a partition  $U_1 \cup \ldots \cup U_m$  of  $\mathbb{Z}$  and an  $m \times m$  matrix substitution system  $\Phi$  of q-affine mappings derived directly from  $\sigma$ : namely,  $\sigma a_j = a_{i_1} \ldots a_{i_q}$  gives rise to the mappings  $(x \mapsto qx + l - 1) \in \Phi_{i_l j}$ ,  $l = 1, \ldots, q$ .

We take as our cut and project scheme

(see 18), where  $\mathbb{Z}_q$  is the q-adic completion of  $\mathbb{Z}$ .

According to Theorem 3, the  $U_i$  are model sets for (34) if and only if for some iteration  $\sigma^M$  of  $\sigma$ , there is a  $k \in \mathbb{Z}$  for which all the mappings  $f_l : x \mapsto q^M x + l$  with  $l \equiv k \pmod{q^M}$  lie in one row of  $\Phi^M$ .

Since  $\sigma^M a_j$  has  $q^M$  letters in it, there are  $q^M$  mappings in the jth column of  $\Phi^M$ . Furthermore, since the letters  $\sigma^M a_j$  are represented by contiguous tiles, their coordinates fall in a range of consecutive integers, and so the mappings of the jth column of  $\Phi^M$  are the maps  $f_l$ , where  $0 \le l < q^M$ , in some order. In particular, all of the mappings in  $\Phi^M$  are of this restricted form. It follows that modular coincidence is equivalent to the existence of a row of  $\Phi^M$ , say the ith row, and a k,  $0 \le k < q^M$ , so that  $f_k$  belongs to each of  $\Phi^M_{i1}, \ldots, \Phi^M_{im}$ .

This condition precisely says that there is a k so that the kth position of  $\sigma^M(a_j)$  contains the same letter  $a_i$  for all j. This is the well-known coincidence condition of Dekking [6], and he has proved that for non-periodic primitive equal-length substitutions, this condition is equivalent to pure point diffractivity. It is straighforward to show that  $S(\Phi)$  has its PF-eigenvalue equal to  $|\det Q|$ . Thus we have

Corollary 1 Let  $\sigma$  be a primitive equal-length (=q) alphabetic substitution with a fixed bi-infinite word w, and assume that w is not periodic. Let  $\Phi$  be the corresponding matrix substitution system and let  $\mathbb{Z} = U_1 \cup \ldots \cup U_m$  be the corresponding partition of  $\mathbb{Z}$ . Then the following are equivalent:

- (i) there is an M so that  $\sigma^{M}$  has a coincidence in the sense of Dekking;
- (ii)  $\Phi$  has a modular coincidence;

- (iii) the  $U_i$ 's are model sets for (34);
- (iv) the  $U_i$ 's are pure point diffractive.

We note that this interesting *equivalence* of model sets and pure point diffractivity is more than we can yet prove in the higher dimensional substitution systems.

# 5 Sphinx tiling

Long we sought the wayward lynx And bowed before the subtle sphinx But solved we not the cryptic sphinx Before we found the wayward links.

-Anon

In this section we take up the sphinx tiling. This is a substitution tiling whose subdivision rule is shown in Figure 1 and Figure 2.

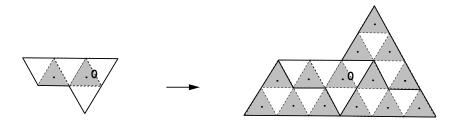


Figure 1: Sphinx Inflation [Type 1]

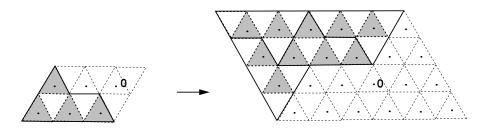


Figure 2: Sphinx Inflation [Type 2]

It has 12 sphinx-like tiles (up to translation). If we choose a single point in the same way in each sphinx then we arrive at 12 sets of points. We wish to show that each of these sets is a regular model set. Actually we make a slight alteration to this, choosing several points from each tile, but this is equivalent to our original problem.

Each sphinx can be viewed as consisting of 6 equilateral triangles of two orientations. In this way, any sphinx tiling determines a tessellation of the plane by equilateral triangles. We consider the centre points of the triangles of one orientation. These clearly form a lattice L, once we have

chosen one of them as the origin. Note that some sphinxes have two points and others have four points in L. We give names to each tile and the points in it as shown in Figure 3. Then the 12 types of sphinx partition L into 36 subsets forming a matrix substitution system. We show that these are model sets for a 2-adic-like cut and project scheme of the form of (18).

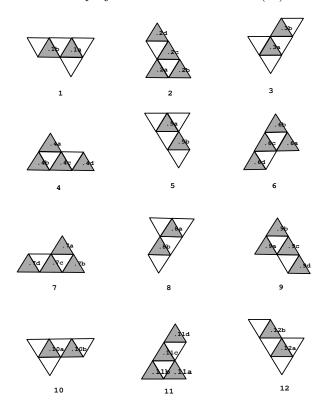


Figure 3: 12 Sphinx Tiles

With the origin as shown, the coordinates are chosen so that in the standard rectangular system (1,0) is the lattice point directly to the right of (0,0). It is more convenient to replace this by an oblique coordinate system:  $L = \{ ae + bw \mid a, b \in \mathbb{Z} \}$ , where  $e = (1,0), w = (\frac{1}{2}, \frac{\sqrt{3}}{2})$  in the standard rectangular system and relative to this basis we can identify L and  $\mathbb{Z}^2$  and denote ae + bw by (a,b). The basic inflation shown in Figure 1 gives rise to the map

$$T: x \mapsto 2Rx + (1,0)$$
,

where R is a reflection in  $\mathbb{R}^2$  through x-axis, i.e. in the new coordinates, R(1,0) = (1,0), R(0,1) = (1,-1).

The various types of points are designated by letter pairs  $i\alpha$ , where  $i \in \{1, \dots, 12\}$  and  $\alpha \in \{a, \dots, d\}$  (of which only 36 actually occur). Let  $U_{i\alpha}$  be the set of points of type  $i\alpha$ . On the basis of this we can make mappings of each point set to other point set.

Define

$$\begin{array}{ll} h_1: x \mapsto Tx + (0,0), & h_2: x \mapsto Tx + (1,0) \\ h_3: x \mapsto Tx + (0,1), & h_4: x \mapsto Tx + (-1,1) \\ h_5: x \mapsto Tx + (-1,0), & h_6: x \mapsto Tx + (0,-1) \\ h_7: x \mapsto Tx + (1,-1), & h_8: x \mapsto Tx + (2,-1) \\ h_9: x \mapsto Tx + (-1,2), & h_{10}: x \mapsto Tx + (-1,-1). \end{array}$$

 $f_{9a 1a} = h_4 : x \mapsto Tx + (-1, 1), \quad f_{1a 1b} = h_2 : x \mapsto Tx + (1, 0)$  $f_{9b 1a} = h_9 : x \mapsto Tx + (-1, 2), \quad f_{1b 1b} = h_1 : x \mapsto Tx + (0, 0)$ 

Let  $f_{i\alpha j\beta}$  be the function which maps  $j\beta$ -point set into  $i\alpha$ -point set. [Type 1]

```
f_{9c\,1a} = h_3: x \mapsto Tx + (0,1), \qquad f_{4a\,1b} = h_5: x \mapsto Tx + (-1,0)
                  f_{9d \, 1a} = h_2 : x \mapsto Tx + (1,0), \qquad f_{4b \, 1b} = h_{10} : x \mapsto Tx + (-1,-1)
                  f_{4a\,1a} = h_1: x \mapsto Tx + (0,0), \qquad f_{4c\,1b} = h_6: x \mapsto Tx + (0,-1)
                  f_{4b \, 1a} = h_6 : x \mapsto Tx + (0, -1), \quad f_{4d \, 1b} = h_7 : x \mapsto Tx + (1, -1)
                  f_{4c 1a} = h_7 : x \mapsto Tx + (1, -1),
                  f_{4d 1a} = h_8 : x \mapsto Tx + (2, -1).
[Type 2]
                                                                 f_{1a\,4b} = h_2 : x \mapsto Tx + (1,0)
                   f_{12a \, 4a} = h_1 : x \mapsto Tx + (0, 0),
                   f_{12b \ 4a} = h_4 : x \mapsto Tx + (-1, 1), \quad f_{1b \ 4b} = h_1 : x \mapsto Tx + (0, 0)
                   f_{4a\,4c} = h_1: x \mapsto Tx + (0,0),
                                                                  f_{1a\,4d} = h_1: x \mapsto Tx + (0,0)
                                                                 f_{1b\,4d} = h_5: x \mapsto Tx + (-1,0)
                   f_{4b4c} = h_6: x \mapsto Tx + (0, -1),
                   f_{4c\,4c} = h_7: x \mapsto Tx + (1, -1),
                   f_{4d,4c} = h_8 : x \mapsto Tx + (2,-1).
```

All points in a sphinx having 2-points in it are mapped as in [Type 1] changing the translation part according to the orientation of the sphinx relative to sphinx 1. Likewise, all points in a sphinx having 4-points in it are mapped as in [Type 2] relative to sphinx 4.

Now we can list the  $36 \times 36$  matrix( $\Phi$ ) of affine mappings that make up our substitution system (Figure 4).

We can check that  $S(\Phi)$  has PF-eigenvalue 4 and is a primitive matrix and the union of point sets is L. We used Mathematica to check that property (iv) in Theorem 3 is satisfied in  $\Phi^8$  (it may actually be satisfied at some lower power). Certainly in  $\Phi^8$  there are a large number of modular coincidences. Theorem 1 and 3 say that all 36 point sets are regular model sets in CPS (18).

### 6 The total index and model sets

In this section we derive another criterion for determining when a partition of a lattice is a partition into Q-adic model sets, the difference this time being that there is no substitution system involved.

Figure 4: Sphinx matrix function system( $\Phi$ )

We assume that we are given a lattice L in  $\mathbb{R}^n$  and an inflation Q on L as in (3). The notation remains the same as before. The main ingredient is a non-negative sub-additive function called the total index which is defined on the subsets of L and its Q-adic completion  $\overline{L}$ .

For any subset V of L the coset part of V is defined as

$$C(V) := \bigcup \{ C \mid C \text{ is a coset in } V \}. \tag{35}$$

The key point to remember in what follows is that two cosets in  $L(\overline{L})$  are either disjoint or one of them is contained in the other. If  $C = a + Q^k L$  is a coset then we write [L : C] for the index of the subgroup  $Q^k L$  in L.

**Lemma 6** The coset part of V can be written as a disjoint union of cosets in V.

PROOF: If V contains no cosets, then the result is clear. Suppose V contains cosets. Let  $C_1 = a_1 + Q^{k_1}L$  be a coset in V with  $k_1$  minimal. Consider  $V \setminus C_1$ . No coset can be partly in  $C_1$  and partly in  $V \setminus C_1$ . Thus, if  $V \setminus C_1$  contains no cosets, then  $C(V) = C_1$ . Otherwise let  $C_2$  be a coset  $a_2 + Q^{k_2}L$  with  $k_2$  minimal in  $V \setminus C_1$ . Then  $C(V) \supset C_1 \cup C_2$ . We continue this process. Since there are only finitely many cosets for  $Q^kL$  in L, either we obtain  $C(V) = C_1 \cup \cdots \cup C_r$  for some  $C(V) \supset C_1 \cup C_2 \cup \cdots$ , where  $C(V) \supset C_1 \cup C_2 \cup \cdots$  is infinite and unbounded. In the latter case,  $C(V) = \bigcup_{i=1}^{\infty} C_i$  is our required decomposition. If not, there is a coset  $C = a + Q^kL$  in  $C_1 \cup C_2 \cup \cdots \cup C_r$  such that  $C \not\subset \bigcup_{i=1}^{\infty} C_i$ . Then there is  $C_i$  with  $C_i \cup C_i \cup C_i$ . This contradicts the choice of  $C_i$ .

For  $V \subset L$ , we call a decomposition  $\mathcal{C}(V) = \bigcup_i C_i$  of  $\mathcal{C}(V)$  into mutually disjoint cosets using the algorithm of Lemma 6, an *efficient* decomposition of V into cosets. In this case we call  $c(V) := \sum_i [L:C_i]^{-1}$  the *total index* of V. Since any coset is an efficient decomposition of itself, we have  $c(V) = \sum_i c(C_i)$ . We will see shortly that the total index is finite.

It is useful to note that an efficient decomposition of  $C(V) = \bigcup_i C_i$  of C(V) into cosets has the following special property: if D is any coset of V then necessarily  $D \subset C_i$  for some i.

**Lemma 7** Any two efficient decompositions of C(V) are the same up to rearrangement of the order of the cosets. In particular the total index is well-defined.

PROOF: Let  $C(V) = \bigcup C'$  be a second decomposition of C(V) determined by the same algorithm as in Lemma 6. Then with  $k_1$  as in the Lemma, let  $D_1, \dots, D_r$  be all the cosets of V of the form  $a + Q^{k_1}L$ . These are all disjoint and by the algorithm all of them must be chosen in the decomposition of C(V), and they all occur before all the others. Thus  $C_1, \dots, C_r$  and  $C'_1, \dots, C'_r$  are  $D_1, \dots, D_r$  in some order. Removing these and continuing in the same way the result is clear.

We have similar concepts in  $\overline{L}$ . For  $W \subset \overline{L}$  we have the coset part  $\mathcal{C}^*(W)$  of W and  $\mathcal{C}^*(W)$  can be written as a disjoint union of cosets in W. Let  $\mathcal{C}^*(W) = \bigcup_i D_i$  where  $D_i$ ,  $i = 1, 2, \cdots$ , are mutually disjoint cosets in W. We call  $c^*(W) := \sum_i [\overline{L} : D_i]^{-1}$  the total index of W. This time we do not need to be careful about the way in which the decomposition is obtained since the total index is nothing else than the measure  $\mu(\mathcal{C}^*(W))$  of  $\mathcal{C}^*(W)$ .

Given an efficient decomposition  $C(V) = \bigcup_{i=1} C_i$  into disjoint cosets in L, we define  $\overline{C}(V) := \bigcup_{i=1} \overline{C_i} \subset \overline{L}$ . This is actually an open set in  $\overline{L}$ . Since  $[L:C] = [\overline{L}:\overline{C}]$  we see that  $c(V) = c^*(\overline{C}(V))$ . In particular it follows that the total index of any subset V of L is finite and bounded by  $\mu(\overline{C}(V))$ .

**Lemma 8** For  $X,Y \subset L$  and  $X \subset Y$ , and any decomposition  $C(X) = \bigcup_i C_i$  into disjoint cosets,  $\sum_i c(C_i) \leq c(Y)$ . In particular,  $c(X) \leq c(Y)$ .

PROOF: Assume first that Y is a single coset C. Then

$$\sum_{i} c(C_i) = \sum_{i} c^*(\overline{C}_i) = \sum_{i} \mu(\overline{C}_i) \le \mu(\overline{C}) = c^*(\overline{C}) = c(C),$$
(36)

since the cosets remain distinct after closing them in  $\overline{L}$ .

In the general case, let  $C(Y) = \bigcup_{j=1} C'_j$  be an efficient decomposition of Y. Since  $X \subset Y$ , each  $C_i \subset Y$ . In view of the remark above about efficient decompositions, there is for each i a unique j for which  $C_i \subset C'_j$ . Thus we can arrange the  $C_i$ 's so that

$$C(X) = \bigcup_{j=1}^{\infty} \bigcup_{i \in A_j} C_i \tag{37}$$

where  $A_j := \{i \mid C_i \subset C_j'\}$ . Now  $\bigcup_{i \in A_j} C_i \subset C_j'$ , so by the first part of the proof,  $\sum_{i \in A_j} c(C_i) \leq c(C_j')$ . Finally

$$c(X) = \sum_{j} \sum_{i \in A_j} c(C_i) \le \sum_{j} c(C'_j) = c(Y).$$
(38)

**Lemma 9** Let  $U_i$ ,  $i=1,\dots,m$ , be disjoint point sets of the lattice L in  $\mathbb{R}^n$ . Let  $\Lambda_i=\overline{U_i}\cap L$  and  $\mathcal{C}(U_i)$  be the coset part in  $U_i$ . Then  $\bigcup_{i=1}^m (\Lambda_i \setminus U_i) \subset L \setminus \bigcup_{i=1}^m \mathcal{C}(U_i)$ , with equality if  $L=\bigcup_{i=1}^m U_i$ .

PROOF For  $x \in \bigcup_{i=1}^m \mathcal{C}(U_i)$  there is a coset  $C \subset \mathcal{C}(U_i)$  for which  $x \in C \subset U_i$ . Let  $C = a + Q^k L$ ,  $a \in L$ . Suppose x is a limit point of  $U_j$  in  $\overline{L}$  for some  $j \neq i$ . Then, since  $a + Q^k \overline{L}$  is an open neighborhood of x,  $(a + Q^k \overline{L}) \cap U_j \neq \emptyset$  i.e.  $(a + Q^k L) \cap U_j \neq \emptyset$ . But then  $U_i \cap U_j \neq \emptyset$ , contrary to the assumption. This means  $x \notin \bigcup_{i=1}^m (\Lambda_i \setminus U_i)$ , proving the first part.

Suppose that  $L = \bigcup_{i=1}^m U_i$  and  $x \in L$  but  $x \notin \bigcup_{i=1}^m \mathcal{C}(U_i)$ . Then  $x \in U_i$  for some  $U_i$  but there is no coset in  $U_i$  which contains x. For any  $k \in \mathbb{Z}_+$ ,  $B_k(x) := x + Q^k \overline{L}$  is an open neighborhood of x in  $\overline{L}$  and  $L \cap B_k(x) \notin U_i$ , by assumption. Since  $L = \bigcup_{i=1}^m U_i$ ,  $(L \cap B_k(x)) \cap U_j \neq \emptyset$  for some  $j \neq i$ . So we can choose  $x_k^j \in (L \cap B_k(x)) \cap U_j$ . Then we get a sequence  $\{x_k^j\}$  convergent to x as  $k \to \infty$ . Choosing a subsequence lying entirely in one  $\Lambda_j$  shows that  $x \in \Lambda_j$  for some  $j \neq i$ . Since  $x \in U_i$ , and  $U_i, U_j$  are disjoint,  $x \in \Lambda_j \setminus U_j$ .

**Theorem 4** Let  $U_i$ ,  $i = 1, \dots, m$ , be disjoint nonempty point sets of the lattice L in  $\mathbb{R}^n$ . Let  $C(U_i)$  be the coset part in  $U_i$ ,  $c(U_i)$  the total index of  $U_i$ , and  $W_i$  the closure of  $U_i$  in  $\overline{L}$ . Then  $\sum_{i=1}^m c(U_i) = 1$  if and only if the sets  $U_i$ ,  $i = 1, \dots, m$ , are regular weak model sets in the CPS(18) and  $\overline{L} = \bigcup_{i=1}^m W_i$ .

#### PROOF

( $\Rightarrow$ ) Assume that  $\sum_{i=1}^{m} c(U_i) = 1$ . Let  $U_{m+1} := L \setminus \bigcup_{i=1}^{m} U_m$ . Using Lemma 8 and the fact that c(L) = 1, we see that  $c(U_{m+1}) = 0$  and  $\sum_{i=1}^{m+1} c(U_i) = 1$ . For this reason we can assume, in proving that the  $U_i$  are weak model sets, that  $\bigcup_{i=1}^{m} U_i = L$  in the first place.

For  $j \neq k$  the cosets of  $\mathcal{C}(U_j)$  (of which there may be none!) and those of  $\mathcal{C}(U_k)$  are disjoint from one another, and the same applies to  $\overline{\mathcal{C}}(U_j)$  and  $\overline{\mathcal{C}}(U_k)$ . Thus

$$\mu\left(\bigcup_{i=1}^{m} \overline{\mathcal{C}}(U_i)\right) = \sum_{i=1}^{m} \mu(\overline{\mathcal{C}}(U_i)) = \sum_{i=1}^{m} c(U_i) = 1,$$

and

$$\mu\left(\overline{L}\setminus(\bigcup_{i=1}^{m}\overline{C}(U_{i}))\right) = 0. \tag{39}$$

Now note that  $\partial W_j \cap \bigcup_{i=1}^m \overline{\mathcal{C}}(U_i) = \emptyset$  for any j. If not let  $a \in \partial W_j \cap \overline{\mathcal{C}}(U_k)$  for some k. Since  $\overline{\mathcal{C}}(U_k) \subset \mathring{W}_k$ , we see that  $j \neq k$ . But  $a \in W_j$ , so a is a limit point of  $U_j$ , and  $\overline{\mathcal{C}}(U_k)$  is an open neighborhood of a, so  $U_j \cap \mathcal{C}(U_k) \neq \emptyset$ . This violates the disjointness of the  $U_i$ 's. We conclude that  $\partial W_j \subset \overline{L} \setminus (\bigcup_{i=1}^m \overline{\mathcal{C}}(U_i))$  and hence that

$$\mu(\partial W_i) = 0, \tag{40}$$

for all j = 1, ..., m. Note also

$$\Lambda_i \backslash U_i \subseteq \bigcup_{j=1}^m (\Lambda_j \backslash U_j)$$

$$\subset L \backslash \bigcup_{j=1}^m \mathcal{C}(U_j) \text{ by Lemma 8}$$

$$= L \setminus \bigcup_{j=1}^{m} (\overline{\mathcal{C}}(U_j) \cap L) = L \setminus \bigcup_{j=1}^{m} \overline{\mathcal{C}}(U_j).$$

This shows that

$$\mu(\overline{\Lambda_i \backslash U_i}) \le \mu\left(\overline{L \backslash (\bigcup_{i=1}^m \overline{C}(U_i))}\right) \le \mu\left(\overline{L} \backslash \bigcup_{i=1}^m \overline{C}(U_i)\right) = 0. \tag{41}$$

By Lemma 4 (i) and (ii),  $\overset{\circ}{W_i} \cap \overset{\circ}{W_j} = \emptyset$  for all  $i \neq j$  and  $(\Lambda_i \setminus U_i) \subset \bigcup_{j=1}^m \partial W_j$  for all  $i = 1, \dots, m$ . Using Lemma 5(i) we obtain that the sets  $U_i, i = 1, \dots, m$ , are regular weak model sets in the CPS(18).

Remark : Whenever  $\overset{\circ}{W_i} \neq \emptyset$ ,  $U_i$  is actually a regular model set.

Since  $\bigcup_{i=1}^m \overline{\mathcal{C}}(U_i) \subset \bigcup_{i=1}^m W_i$ ,  $\mu(\bigcup_{i=1}^m W_i) = 1$ . Thus  $\overline{L} \setminus \bigcup_{i=1}^m W_i$  is open of measure 0 and  $\overline{L} = \bigcup_{i=1}^m W_i$ . This last argument does not require that  $\bigcup_{i=1}^m U_i = L$ .

( $\Leftarrow$ ) Assume that  $U_i = \Lambda(V_i) = V_i \cap L$  where  $\overline{V_i} \setminus \overset{\circ}{V_i}$  has measure 0 and  $\overline{L} = \bigcup_{i=1}^m W_i$ . Thus  $U_i \subset V_i$  and  $W_i := \overline{U_i} \subset \overline{V_i}$ . Since L is dense in  $\overline{L}$  and for  $x \in \overset{\circ}{V_i}$  each ball around x of radius  $\epsilon > 0$  contains points of  $\overset{\circ}{V_i} \cap L \subset U_i$ , it follows that  $\overline{U_i} \supset \overset{\circ}{V_i}$ . This proves that  $\overset{\circ}{V_i} \subset W_i \subset \overline{V_i}$ . So  $\mu(\overset{\circ}{V_i}) = \mu(W_i)$  and  $\mu(W_i \setminus \overset{\circ}{V_i}) = 0$ . Now

$$\bigcup_{i=1}^{m} W_i = (\bigcup_{i=1}^{m} \mathring{V}_i) \cup (\bigcup_{i=1}^{m} (W_i \setminus \mathring{V}_i)).$$

So  $\mu(\bigcup_{i=1}^m W_i) = \mu(\bigcup_{i=1}^m \mathring{V_i})$ . Also the disjointness of the  $U_i$  gives  $\mathring{V_i} \cap \mathring{V_j} = \emptyset$  for  $i \neq j$  (since L is dense in  $\overline{L}$ ). Finally

$$1 = \mu(\bigcup_{i=1}^{m} W_i) = \mu(\bigcup_{i=1}^{m} \mathring{V_i}) = \sum_{i=1}^{m} \mu(\mathring{V_i}) = \sum_{i=1}^{m} c^*(\mathring{V_i}) \le \sum_{i=1}^{m} c(\mathring{V_i} \cap L) \le \sum_{i=1}^{m} c(U_i) \le 1.$$

Corollary 2 Let  $(\tilde{U}, \Phi)$  be a primitive substitution system with inflation Q on the lattice L in  $\mathbb{R}^n$ . Suppose that PF-eigenvalue of the substitution matrix  $S(\Phi)$  is equal to  $|\det Q|$  and  $L = \bigcup_{i=1}^m U_i$ . Then  $\sum_{i=1}^m c(U_i) = 1$ , where  $c(U_i)$  is the total index of  $U_i$  if and only if the sets  $U_i$ ,  $i = 1, \dots, m$ , are model sets in CPS(18).

PROOF Use Theorem 1 to determine that for all  $i, \overset{\circ}{W_i} \neq \emptyset$ . Now use Theorem and Remark in the proof.

# 7 Chair tiling

The two dimensional chair tiling is generated by the inflation rule shown in Figure 5. There are 4 orientations of the chairs in any chair tiling. In [4] it was shown that the chair tiling has an

interpretation in terms of model sets based on the lattice  $\mathbb{Z}^2$  and its 2-adic completion as internal space.

In this section we generalize this result to the n-dimensional chair tiling using the results of the last section (see Figure 7 for an example of the 3-dimensional chair). To make things clearer we begin with the case n = 2.

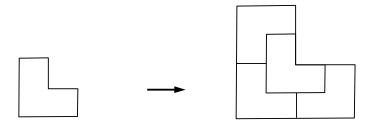


Figure 5: 2-dimensional chair tiling inflation

# I. Chair tiling in $\mathbb{R}^2$

The starting point is to replace each tile by 3 oriented squares. Figure 6 shows the inflation rule, for one chair, in terms of oriented squares. The resulting tiling is a square tiling of the plane in which each of the squares has one of 4 orientations. The centre points of each square form a square lattice which we identify with  $\mathbb{Z}^2$  by assigning coordinates as shown.

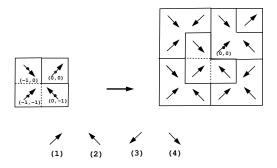


Figure 6: 2-dimensional chair tiling substitution

Let  $U_i$  be the set of centre points corresponding to squares of orientation (i) as given in Figure 6. We start out from a basic generating set  $A_2 := \{(x_1, x_2) | x_i \in \{0, -1\}\}$  and determine the precise maps for the substitution rules of Figure 6.

Letting  $e_1 := (0,0), e_2 := (1,0), e_3 := (1,1), e_4 := (0,1)$ , these maps are defined as:

$$f_{j,i}: U_i \to U_j \text{ by } (x,i) \mapsto (2x + e_j, j) \text{ if } j \neq i \pm 2$$
  
 $f_{i,i}^{(2)}: U_i \to U_i \text{ by } (x,i) \mapsto (2x + e_j, i) \text{ if } j = i \pm 2,$ 

where 
$$i, j \in \{1, 2, 3, 4\}, x \in \mathbb{Z}^2, i \pm 2 := \begin{cases} i+2 & \text{if } i \leq 2 \\ i-2 & \text{if } i > 2. \end{cases}$$

These are the maps of an affine substitution system  $\Phi$ . In fact, if we define

$$h_1: x \mapsto 2x + e_1, \ h_2: x \mapsto 2x + e_2, \ h_3: x \mapsto 2x + e_3, \ h_4: x \mapsto 2x + e_4,$$

then

$$f_{1,1} = h_1, \quad f_{1,2} = h_1, \quad f_{1,1}^{(2)} = h_3, \quad f_{1,4} = h_1$$
  
 $f_{2,1} = h_2, \quad f_{2,2} = h_2, \quad f_{2,3} = h_2, \quad f_{2,2}^{(2)} = h_4$   
 $f_{3,3}^{(2)} = h_1, \quad f_{3,2} = h_3, \quad f_{3,3} = h_3, \quad f_{3,4} = h_3$   
 $f_{4,1} = h_4, \quad f_{4,4}^{(2)} = h_2, \quad f_{4,3} = h_4, \quad f_{4,4} = h_4,$ 

and

$$\Phi = \begin{pmatrix} \{h_1, h_3\} & \{h_1\} & \{\} & \{h_1\} \\ \{h_2\} & \{h_2, h_4\} & \{h_2\} & \{\} \\ \{\} & \{h_3\} & \{h_3, h_1\} & \{h_3\} \\ \{h_4\} & \{\} & \{h_4\} & \{h_4, h_2\} \end{pmatrix}$$

Inflating  $A_2$  by the substitutions above we generate the 4 point sets  $U_i$ , i = 1, 2, 3, 4. The precise description of  $U_i$  is the following:

$$\begin{array}{lll} U_1 & = & \displaystyle \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^k-1} \left( (0,0) + 2^k(2,0) + t(1,1) + 2^k \cdot 4\mathbb{Z}^2 \right) \\ & \displaystyle \cup \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^k-1} \left( (0,0) + 2^k(0,2) + t(1,1) + 2^k \cdot 4\mathbb{Z}^2 \right) \ \cup \bigcup_{t=-\infty}^{\infty} \left\{ t(1,1) \right\} \\ U_2 & = & \displaystyle \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^k-1} \left( (-1,0) + 2^k(2,0) + t(-1,1) + 2^k \cdot 4\mathbb{Z}^2 \right) \\ & \displaystyle \cup \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^k-1} \left( (-1,0) + 2^k(0,2) + t(-1,1) + 2^k \cdot 4\mathbb{Z}^2 \right) \ \cup \bigcup_{t=0}^{\infty} \left\{ (0,-1) + t(1,-1) \right\} \\ U_3 & = & \displaystyle \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^k-1} \left( (-1,-1) + 2^k(2,0) + t(-1,-1) + 2^k \cdot 4\mathbb{Z}^2 \right) \\ & \displaystyle \cup \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^k-1} \left( (-1,-1) + 2^k(0,2) + t(-1,-1) + 2^k \cdot 4\mathbb{Z}^2 \right) \\ U_4 & = & \displaystyle \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^k-1} \left( (0,-1) + 2^k(2,0) + t(1,-1) + 2^k \cdot 4\mathbb{Z}^2 \right) \\ & \displaystyle \cup \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^k-1} \left( (0,-1) + 2^k(0,2) + t(1,-1) + 2^k \cdot 4\mathbb{Z}^2 \right) \\ & \displaystyle \cup \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^k-1} \left( (0,-1) + 2^k(0,2) + t(1,-1) + 2^k \cdot 4\mathbb{Z}^2 \right) \ \cup \bigcup_{t=0}^{\infty} \left\{ (-1,0) + t(-1,1) \right\}. \end{array}$$

Each of these decompositions is basically into cosets, with the exception of three trailing sets in types 1, 2, 4 which we will designate by  $V_1$ ,  $V_2$ ,  $V_4$  respectively.

We can prove the correctness of this as follows:

Let  $U'_1, U'_2, U'_3, U'_4$  be the sets on the right hand sides respectively. Note that

- (i) The generating set  $A_2$  is contained in  $U_i'$  adequately, i.e.  $(0,0) \in U_1', (0,-1) \in U_2', (-1,-1) \in U_1', (-1,0) \in U_4'.$
- (ii) Claim that  $U'_i \supset \bigcup_{j=1}^4 \Phi_{ij} U'_j$ , i = 1, 2, 3, 4. Check that for any i,

$$h_{i}(U'_{i}) \subset \bigcup_{\substack{j=1\\j\neq i, i\pm 2}}^{4} \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^{k}-1} \left( (-e_{i}) + 2^{k+1} (2(e_{i} - e_{j})) + 2t(e_{i\pm 2} - e_{i}) \right) \\ + 2^{k+1} \cdot 4\mathbb{Z}^{2} ) \cup V_{i}$$

$$\subset U'_{i}$$

$$h_{i\pm 2}(U'_{i}) \subset \bigcup_{\substack{j=1\\j\neq i, i\pm 2}}^{4} \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^{k}-1} \left( (-e_{i}) + 2^{k+1} (2(e_{i} - e_{j})) + (2t+1)(e_{i\pm 2} - e_{i}) \right) \\ + 2^{k+1} \cdot 4\mathbb{Z}^{2} \right) \cup V_{i}$$

$$\subset U'_{i}$$

$$h_{i}(U'_{l}) \subset (-2e_{l} + e_{i} + 4\mathbb{Z}^{2}) \cup (-2e_{l\pm 2} + e_{i} + 4\mathbb{Z}^{2})$$

$$\subset U'_{i}, \text{ where } l \neq i, i \pm 2, \ l \in \{1, 2, 3, 4\}$$

(iii)  $U'_i, i = 1, 2, 3, 4$ , are all disjoint.

Indeed within each  $U_i$ , all the cosets and the non-coset part are clearly disjoint. And two cosets or non-coset sets chosen from  $U_i'$  and  $U_j'$ , where  $j \neq i, i \pm 2$ , cannot intersect, since they are different by mod 2. Futhermore two of cosets or non-coset sets chosen from  $U_i'$  and  $U_{i\pm 2}'$  cannot intersect either, since for  $a+2^k\cdot 4\mathbb{Z}^2\subset U_i'$ ,  $b+2^l\cdot 4\mathbb{Z}^2\subset U_{i\pm 2}'$  with  $k\leq l,\ a-b\neq 0$  mod  $2^k\cdot 4\mathbb{Z}^2$ .

Now since  $U'_1, U'_2, U'_3, U'_4$  are generated from  $A_2$  by  $\Phi$ ,  $U_i \subset U'_i$  for all i = 1, 2, 3, 4. Also from  $\bigcup U_i = \mathbb{Z}^2$ , we get  $\bigcup U'_i = \mathbb{Z}^2$ . Since all  $U'_i, i = 1, 2, 3, 4$ , are disjoint,  $U_i = U'_i$  for all i = 1, 2, 3, 4. Finally, for any i = 1, 2, 3, 4,

$$c(U_i) \ge 2 \cdot \sum_{k=0}^{\infty} \sum_{t=0}^{2^k - 1} \frac{1}{(2^k \cdot 4)^2} = 2 \cdot \sum_{k=0}^{\infty} \frac{2^k}{16 \cdot (2^k)^2} = \frac{1}{4}.$$

Thus  $\sum_{i=1}^{4} c(U_i) = 1$ . Theorem 4 shows that  $U_i$ , i = 1, 2, 3, 4, are regular model sets.

#### II. Chair tiling in $\mathbb{R}^n$

In this section we are going to generalize the foregoing to the n-dimensional chair tilings for all  $n \ge 2$ . The n-chair is an n-cube with a corner taken out of it. The inflation rule, which we spell out algebraically below, is geometrically the obvious generalization of the 2-dimensional case.

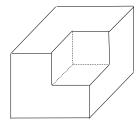


Figure 7: 3-dimensional chair tile

We transform the geometry by replacing each chair by a  $2^n-1$  oriented cubes, as before, and coordinatize the lattice formed by the centres of the cubes, starting from the basic generating set  $A_n := \{(x_1, \dots, x_n) | x_i \in \{0, -1\}\}$ . There are  $2^n$  orientations of cubes and hence  $2^n$  types of points (but only  $2^n - 1$  of these types appear in the starting set  $A_n$ ).

For each  $k \ge 0$  let  $\beta(k)$  be the binary expansion  $\epsilon_o + \epsilon_1 2 + \epsilon_2 2^2 + \dots$  of  $k, \epsilon_l \in \{0, 1\}$ . We define the basic orientation vectors  $e_1, \dots e_{2^n}$  by

$$e_i := \begin{cases} (\epsilon_0, \dots, \epsilon_{n-1}) & \text{the binary digits of } \beta(i-1) & \text{if } i \leq 2^{n-1}, \\ (1, \dots 1) - e_{i-2^{n-1}} & \text{if } i > 2^{n-1}. \end{cases}$$

We determine the sets  $U_i$ ,  $i = 1, ..., 2^n$ , of all i-type points in  $\mathbb{Z}^n$  from the points of the basic generating set  $A_n$ , using the inflation rules below.

The types of the points of  $A_n$  are as follows: for  $x = (x_1, \ldots, x_n) \in A_n$ ,

```
when x_n=-1; x\in U_i, \text{ for which } \beta(i-1)=(1,\ldots,1)+x, when x_n=0; \text{if } x=(0,\ldots,0), \quad x\in U_1 otherwise, x\in U_{i+2^{n-1}}, \text{ for which } \beta(i-1)=(1,\ldots,1)-((1,\ldots,1)+x).
```

The idea of considering our vectors in the form (1, ..., 1) + x is to make it easy to compare them with the basic orientation vectors.

This conforms with what happens when n=2: there are  $2^n-1$  types in the basic starting set that are in  $2^{n-1}-1$  complementary pairs and 1 pair of vectors  $(0,\ldots,0)$  and  $(-1,\ldots,-1)$  of the same type, namely of type 1.

Define

$$f_{j,i}: U_i \to U_j$$
 by  $(x,i) \mapsto (2x + e_j, j)$  if  $j \neq i \pm 2^{n-1}$   
 $f_{i,i}^{(2)}: U_i \to U_i$  by  $(x,i) \mapsto (2x + e_j, i)$  if  $j = i \pm 2^{n-1}$ ,

where  $i,j\in\{1,\cdots,2^n\}, \quad x\in\mathbb{Z}^n, \quad i\pm 2^{n-1}:=\left\{\begin{array}{ll} i+2^{n-1} & \text{if } i\leq 2^{n-1}\\ i-2^{n-1} & \text{if } i>2^{n-1}. \end{array}\right.$  Let  $\Phi$  be the matrix function system. Define  $h_i:x\mapsto 2x+e_i, i\in\{1,\cdots,2^n\}$ .

Inflating  $A_n$  by the maps, we get the precise description of  $U_i$ :

$$U_i = \bigcup_{\substack{j=1\\j\neq i, i\pm 2^{n-1}}}^{2^n} \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^k-1} \left( (-e_i) + 2^k (2(e_i - e_j)) + t(e_{i\pm 2^{n-1}} - e_i) + 2^k \cdot 4\mathbb{Z}^n \right) \bigcup V_i,$$

where

$$V_{i} = \begin{cases} \bigcup_{t=-\infty}^{\infty} \{t(e_{1\pm 2^{n-1}} - e_{1})\} & \text{if } i = 1\\ \bigcup_{t=0}^{\infty} \{t(e_{i} - e_{i\pm 2^{n-1}}) + (-e_{i\pm 2^{n-1}})\} & \text{if } i \neq 1, 1 \pm 2^{n-1}\\ \emptyset & \text{if } i = 1 + 2^{n-1} \end{cases}$$
(42)

The equalities can be proved in the same way as in the 2-dimensional case. Let  $U'_i$  be the set of the right hand side in (42). Note that

(i) The generating set  $A_n$  is contained in  $U'_i$  adequately, i.e.

$$\begin{split} e_1 &\in U_1' & \text{ if } i = 1 \\ -e_{i\pm 2^{n-1}} &\in U_i' & \text{ if } i \neq 1, 1 \pm 2^{n-1} \\ -e_{1\pm 2^{n-1}} &\in U_1' & \text{ if } i = 1 \pm 2^{n-1} \,. \end{split}$$

(ii) Claim that  $U_i' \supset \bigcup_{j=1}^{2^n} \Phi_{ij} U_j', i=1,\cdots,2^n$ . Indeed for  $i\in\{1,\cdots,2^n\}$ 

$$h_{i}(U'_{i}) \subset \bigcup_{\substack{j=1\\j\neq i, i\pm 2^{n-1}}}^{2^{n}} \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^{k}-1} \left( (-e_{i}) + 2^{k+1} (2(e_{i} - e_{j})) + 2t(e_{i\pm 2^{n-1}} - e_{i}) \right)$$

$$+ 2^{k+1} \cdot 4\mathbb{Z}^{n} ) \cup V_{i}$$

$$\subset U'_{i}$$

$$h_{i\pm 2^{n-1}}(U'_{i}) \subset \bigcup_{\substack{j=1\\j\neq i, i\pm 2^{n-1}}}^{2^{n}} \bigcup_{k=0}^{\infty} \bigcup_{t=0}^{2^{k}-1} \left( (-e_{i}) + 2^{k+1} (2(e_{i} - e_{j})) + (2t+1)(e_{i\pm 2^{n-1}} - e_{i}) \right)$$

$$+ 2^{k+1} \cdot 4\mathbb{Z}^{n} ) \cup V_{i}$$

$$\begin{array}{rcl} &\subset & U_i' \\ h_i(U_l') &\subset & (-2e_l+e_i+4\mathbb{Z}^n) \cup (-2e_{l\pm 2^{n-1}}+e_i+4\mathbb{Z}^n) \\ &\subset & U_i', \text{ where } l\neq i, \ i\pm 2^{n-1}, \ l\in \{1,\cdots,2^n\} \,. \end{array}$$

(iii)  $U'_i, i = 1, \dots, 2^n$ , are disjoint.

Indeed all cosets and a non-coset set in each  $U_i'$  are all disjoint. And two cosets or non-coset sets chosen from  $U_i'$  and  $U_j'$ , where  $j \neq i, i \pm 2^{n-1}$ , cannot intersect, since they are different by mod 2. Futhermore two of cosets or non-coset sets chosen from  $U_i'$  and  $U_{i\pm 2^{n-1}}'$  cannot intersect either, since for  $a + 2^k \cdot 4\mathbb{Z}^n \subset U_i'$ ,  $b + 2^l \cdot 4\mathbb{Z}^n \subset U_{i\pm 2^{n-1}}'$  with  $k \leq l$ ,  $a - b \neq 0$  mod  $2^k \cdot 4\mathbb{Z}^n$ .

Now since  $U_i'$ ,  $i = 1, \dots, 2^n$ , are generated from  $A_n$  by  $\Phi$ ,  $U_i \subset U_i'$  for all  $i = 1, \dots, 2^n$ . Also from  $\bigcup_{i=1}^{2^n} U_i = \mathbb{Z}^n$ ,  $\bigcup_{i=1}^{2^n} U_i' = \mathbb{Z}^n$ . Since all  $U_i'$ ,  $i = 1, \dots, 2^n$ , are disjoint,  $U_i = U_i'$  for all  $i = 1, \dots, 2^n$ .

For any  $i = 1, \dots, 2^n$ ,

$$c(U_i) \ge (2^n - 2) \cdot \sum_{k=0}^{\infty} \sum_{t=0}^{2^k - 1} \frac{1}{(2^k \cdot 4)^n} = (2^n - 2) \cdot \sum_{k=0}^{\infty} \frac{2^k}{2^{2n} \cdot (2^k)^n} = \frac{1}{2^n}.$$

Thus  $\sum_{i=1}^{2^n} c(U_i) = 1$ . Theorem 4 shows that  $U_i, i = 1, \dots, 2^n$ , are regular model sets.

To get a model set interpretation of the chair tiling itself we proceed as follows. We observe that every arrow points to the inner corner of exactly one chair. Let us label each chair by its inner corner point which is at the tip of exactly  $2^n - 1$  arrows. These corner points give us  $2^n$  sets  $X_1, \ldots, X_{2^n}$  according to the type, and all lie in the shift  $L' = (\frac{1}{2}, \ldots, \frac{1}{2}) + \mathbb{Z}^n$  of our lattice  $\mathbb{Z}^n$ . Let  $f_i, i = 1, \ldots, 2^n$ , be  $(\frac{1}{2}, \ldots, \frac{1}{2}) - e_i$  respectively. Then  $U_i + f_i$  is the set of tips of all arrows of type i and  $U_i + f_i = L' \cap (V_i + f_i)$ , for some  $V_i \subset \mathbb{Z}_2^n$  for which  $\overline{V_i}$  compact,  $V_i \neq \emptyset$  and  $\mu(\partial V_i) = 0$ . Now

$$X_i = L' \cap (\bigcap_{j \neq i \pm 2^{n-1}} (V_j + f_j))$$

which is the required regular model set description of  $X_i$ , since

$$\partial (\bigcap_{j\neq i\pm 2^{n-1}} (V_j+f_j))\subset \bigcup_{j\neq i\pm 2^{n-1}} \partial (V_j+f_j)$$

and  $\mu(\partial(V_i + f_i)) = 0$  for all  $j = 1, \dots, 2^n$ .

From this result we can show that if we mark each chair with a single point in a consistent way, then the set of points obtained from all the chairs of any one type also forms a regular model set, and hence a pure point diffractive set.

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